

GFIN Regulatory Compendium Table (Activity)

Notes: "X" marks when a regulation is explicitly required "Y" denotes when a regulation is heavily implied

Organisation	Jurisdiction	Activity									
		Legal guidance on innovative business	Authorisation support	Live Sandbox	Certain waivers or exemptions	RegTech Firm engagement	SupTech Firm engagement	Third party engagement	Facilitating hackathons and Techsprints	Assistance finding public/private partners	Other
Australian Securities and Investments Commission (ASIC)	Australia	X	X	X	X	X	X	X			Facilitating showcase events
Central Bank of Bahrain (CBB)	Bahrain	X	X	X	X	X	X	X	N/A	X	Digital Lab testing environment will be developed soon, which will facilitate introduction between sandbox companies & financial institutions. The lab will also enable running virtual hackathons, challenges & TechSprints.
Bermuda Monetary Authority (BMA)	Bermuda	X	X	X	X	X	X	X		X	
Alberta Securities Commission (ASC)	Canada (Alberta)	X	X	X	X	X	X	X			
British Columbia Securities Commission (BCSC)	Canada (British Columbia)	X	X	X	X	X	X	X			
Ontario Securities Commission (OSC)	Canada (Ontario)	X	X	X	X	X	X	X			
Autorité des marchés financiers Québec (AMF)	Canada (Quebec)	X	X	X	X	X	X	X			Fintech Lab and Technological Innovation Advisory Committee
Guernsey Financial Services Commission (GFSC)	Guernsey	X	X		X	X	X	X	X		
Hong Kong Insurance Authority (IA)	Hong Kong		X	X		X	X	X			Streamline process of authorization of solely digital insurers
Hong Kong Monetary Authority (HKMA)	Hong Kong	X	X	X	X	X	X	X	X	X	
Hong Kong Securities and Futures Commission (SFC)	Hong Kong							X			
Magyar Nemzeti Bank (Central Bank of Hungary, MNB)	Hungary	X	X	X	X	X	X	X	X	X	Information Repository for legal instruments and provisions of financial services
Jersey Financial Services Commission (JFSC)	Jersey	X	X			X	X	X			
Astana Financial Services Authority (AFSA)	Kazakhstan	X	X	X	X	X	X	X		X	

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Capital Markets Authority (CMA)	Kenya	X	X	X	X (Principle based where applicable)	X	X	X		X	Information sharing agreements with global jurisdictions, participating in fintech festivals and organizing demos and showcase events
Bank of Lithuania (LB)	Lithuania	X	X	X	X (Principle of proportionality is applied in regulatory sandbox)	X	X				More information about regulatory sandbox: https://www.lb.lt/en/regulatory-sandbox
Monetary Authority of Singapore (MAS)	Singapore	X	X	X	X	X	X	X	X	X	
Financial Supervisory Commission Taiwan (FSC)	Taiwan	X	X	X	X	X	X	X	X	X	
Central Bank United Arab Emirates (CB UAE)	UAE		X			X	X		X	X	Research and application support for FinTech-related solutions within banking
Abu Dhabi Global Market (ADGM)	UAE (Abu Dhabi)	X	X	X	X	X	X	X	X	X	
Dubai Financial Services Authority (DFSA)	UAE (Dubai)	X	X	X	X	X	X	X		X	
Financial Conduct Authority (FCA)	United Kingdom	X	X	X	X	X	X	X	X	N/A	
Consumer Financial Protection Bureau (CFPB)	United States	X		X	X	X	X	X	X		Dedicated Office of Innovation; Created ACFIN to support coordination between Federal and State regulators

GFIN Regulatory Compendium Table (Industry sectors)

Notes: "X" marks when a regulation is explicitly required "Y" denotes when a regulation is heavily implied

Organisation	Jurisdiction	Industry sectors	Securities	Insurance	Consumer credit	Investment advice	Banking	Payments	Investment funds	Crowdfunding	CBT
		Trading infrastructures									
Australian Securities and Investments Commission (ASIC)	Australia	y	x	x	x	x	x (some features)	x	y	x (some)	Yes
Central Bank of Bahrain (CBB)	Bahrain	x	x	x	x	x	x	x	x	x	Yes
Bermuda Monetary Authority (BMA)	Bermuda	x		x				x	x		Yes
Alberta Securities Commission (ASC)	Canada (Alberta)	x	x			x			x	x	Yes
British Columbia Securities Commission (BCSC)	Canada (British Columbia)	x	x			x			x	x	Yes
Ontario Securities Commission (OSC)	Canada (Ontario)	x	x			x			x	x	Yes
Autorité des marchés financiers Québec (AMF)	Canada (Quebec)	x	x	x		x					Yes
Guernsey Financial Services Commission (GFSC)	Guernsey	x		x			x		x		Yes
Hong Kong Insurance Authority (IA)	Hong Kong			x							Yes
Hong Kong Monetary Authority (HKMA)	Hong Kong	x					x	x	x		Yes
Hong Kong Securities and Futures Commission (SFC)	Hong Kong	x	x			x			x		Yes
Magyar Nemzeti Bank (Central Bank of Hungary, MNB)	Hungary	x	x	x	x	x	y	x	x		Yes
Jersey Financial Services Commission (JFSC)	Jersey		x	x		x	x	y	x	y	Yes
Astana Financial Services Authority (AFSA)	Kazakhstan	x	x	x			x		y		Yes

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		Trading infrastructures	Securities	Insurance	Consumer credit	Investment advice	Banking	Payments	Investment funds	Crowdfunding	CBT
Capital Markets Authority (CMA)	Kenya	X	X			X			X	X	Yes
Bank of Lithuania (LB)	Lithuania	X	X	X	X	X	X	X	X	X	Yes
Monetary Authority of Singapore (MAS)	Singapore	X	X	X		X	X		X	X (only securities based crowdfunding)	Yes
Financial Supervisory Commission Taiwan (FSC)	Taiwan	X	X	X	Y	X	X	Y	Y	Y	Yes
Central Bank United Arab Emirates (CB UAE)	UAE						X	X			Yes
Abu Dhabi Global Market (ADGM)	UAE (Abu Dhabi)	X	X	X	X	X	X	X	X	X	Yes
Dubai Financial Services Authority (DFSA)	UAE (Dubai)	X	X	X (restrictions)			X	X	X	X	Yes
Financial Conduct Authority (FCA)	United Kingdom	X	X	X	X	X	X	X	X	X	Yes
Consumer Financial Protection Bureau (CFPB)	United States				X		X	X	Y		Yes