

GFIN Member: Office of the Comptroller of the Currency (OCC)

Location: Washington, DC, USA

About Us	<p>The OCC is an independent bureau of the United States (US) Department of the Treasury. The OCC is a prudential supervisor of the US federal banking system, which includes all national banks, federal savings associations (FSAs), and federal branches and agencies of foreign banks.</p> <p>The OCC mission is to ensure that banks operate in a safe and sound manner, provide fair access to financial services, treat customers fairly, and comply with applicable laws and regulations. The agency issues regulations to implement federal banking laws. It also uses a risk-based supervision process focused on evaluating banks' risks, identifying material and emerging concerns, and requiring banks to take corrective action when warranted.</p>
Geographical Jurisdiction	US
Industry Sectors We Regulate	The OCC charters, regulates, and supervises banks and licenses and supervises federal branches and agencies of foreign banks. The agency also has the authority to supervise services provided to banks by certain third-party entities.
Types of Innovation Services we Offer	<ul style="list-style-type: none">✓ Guidance on the application of laws and rules to innovative business models✓ Support with authorisation to operate in our jurisdiction ✓ Engagement with firms on regtech and suptech solutions✓ Engagement with innovators and/or other third parties (e.g. academics, consultants and other organisations)
GFIN Cross-Border Testing Participation?	No
Required Criteria for GFIN Cross-Border Testing	Not applicable
Our Contact Information	Email: innovation@occ.treas.gov OCC Innovation Officers can also be reached by telephone at (202) 649-5200
Website	www.occ.gov/innovation