

<b>GFIN Member: Australian Securities and Investment Commission (ASIC)</b>	
Location: Australia	
<b>About Us</b>	<b>ASIC is Australia’s corporate, markets, financial services and consumer credit regulator and has an Innovation hub which is the engagement point for GFIN work</b>
<b>Geographical Jurisdiction</b>	Australia
<b>Industry Sectors We Regulate</b>	<b>ASIC is Australia’s corporate, markets, financial services and consumer credit regulator</b>
<b>Types of Innovation Services we Offer</b>	<ul style="list-style-type: none"> <li>➤ Guidance on the application of laws and rules to innovative business models</li> <li>➤ Support with authorisation to operate in our jurisdiction</li> <li>➤ Live Sandbox testing environment</li> <li>➤ Licensing waivers or exemptions, for any entity meeting certain criteria</li> <li>➤ Engagement with firms on regtech solutions</li> <li>➤ Facilitating engagement or showcase events</li> <li>➤ Relief or exemptions from laws (were permitted)</li> </ul> <input type="checkbox"/> Other [ <i>specify</i> ] <ul style="list-style-type: none"> <li>- We are happy to engage and discuss new matters or technological solutions via the ASIC Innovation Hub and provide guidance where we can.</li> <li>- Open to discussing academic work (subject to our legal obligations).</li> </ul>
<b>GFIN Cross-Border Testing Participation?</b>	yes
<b>Required Criteria for GFIN Cross-Border Testing</b>	<ul style="list-style-type: none"> <li>• Fit within existing (or if eventuates, future) sandbox</li> <li>• Seek and meet requirements of individual relief</li> <li>• Rely on an existing exemption</li> </ul>
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